



Tetrahedron 59 (2003) 55-60

TETRAHEDRON

# Solvent-free reactions of fullerenes and *N*-alkylglycines with and without aldehydes under high-speed vibration milling

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Received 5 September 2002; revised 23 October 2002; accepted 14 November 2002

**Abstract**—The solvent-free reactions of fullerenes and *N*-alkylglycines with and without aldehydes (RCHO)  $2\mathbf{a}-\mathbf{e}$  under high-speed vibration milling (HSVM) conditions have been investigated. Fulleropyrrolidines  $4\mathbf{a}-\mathbf{e}$  (C<sub>60</sub>(CH<sub>2</sub>N(CH<sub>3</sub>)CHR), R=H (4a), C<sub>6</sub>H<sub>5</sub> (4b), *p*-NO<sub>2</sub>-C<sub>6</sub>H<sub>4</sub> (4c), *p*-CH<sub>3</sub>O-C<sub>6</sub>H<sub>4</sub> (4d), *p*-(CH<sub>3</sub>)<sub>2</sub>N-C<sub>6</sub>H<sub>4</sub> (4e)) were obtained in moderate yields from reactions of C<sub>60</sub> with aldehydes  $2\mathbf{a}-\mathbf{e}$  and *N*-methylglycine (Prato reaction). In all these solvent-free reactions,  $4\mathbf{a}$  was found to be formed besides  $4\mathbf{b}-\mathbf{e}$ , indicating that fullerenes can react with *N*-substituted glycines in the absence of aldehyde to give fulleropyrrolidines. For this novel reaction, a possible reaction mechanism involving an electron transfer process has been proposed. Intrigued by this observation, the dependence of the yield on the reagent ratio for the reaction of C<sub>60</sub> with paraformaldehyde and/or *N*-methylglycine was examined to search the optimal conditions. The reaction of C<sub>70</sub> with paraformaldehyde and/or *N*-methylglycine Ltd. All rights reserved.

# 1. Introduction

Chemical modifications of fullerenes have been intensively explored because of the potential applications of fullerene derivatives in many fields.<sup>1</sup> Over the past ten years, numerous fullerene derivatives have been synthesized via various functionalization methods.<sup>2</sup> Among these methods, the 1,3-dipolar cycloaddition of azomethine ylide to fullerene, known as the Prato reaction, is one of the most investigated reactions. A number of [60]fulleropyrrolidines have been synthesized by the reaction of  $C_{60}$  with *N*substituted glycine or other amino acid and aldehydes or ketones.<sup>3</sup> The reaction of  $C_{70}$  with *N*-methylglycine and paraformaldehye has also been investigated and the formation of three positional isomers of monoadducts was observed.<sup>4</sup>

Recently solvent-free organic reactions<sup>5</sup> have attracted great attention due to the increasing concern for protection of the environment.<sup>6</sup> On the other hand, the solubility of fullerenes in common organic solvents is so low that the use of a large amount of solvents is inevitable for their reactions. Therefore, solvent-free reaction of fullerene is an attractive and appealing method to synthesize functionalized fullerenes. Recently the mechanochemical solvent-free reactions of

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fullerene have been developed.<sup>7-14</sup> The technique called 'high-speed vibration milling (HSVM)' has been used to promote reactions of fullerenes under solvent-free conditions. In this technique, the mechanical energy caused by local high pressure, friction, shear strain, etc. can be used as a driving force for the reaction. This HSVM technique has been successfully utilized in the Reformatsky-type reaction of C<sub>60</sub>,<sup>8</sup> reactions of C<sub>60</sub> to prepare fullerene dimers and trimers catalyzed by various potassium salts, alkaline metals, or solid amines,<sup>9</sup> [4+2] reactions of C<sub>60</sub> with condensed aromatics,<sup>10</sup> with phthalazine<sup>11</sup> and with di(2-pyridyl)-1,2,4,5-tetrazine,<sup>12</sup> reaction of  $C_{60}$  with dichlor-odiphenylsilane and lithium,<sup>13</sup> and reactions of  $C_{60}$  with organic bromides and alkali metals.<sup>14</sup> Preliminary work on 1,3-dipolar cycloadditions of C<sub>60</sub> with diazo compounds and with organic azides have also been reported.<sup>7b</sup> It is to be noted that many of the functionalized fullerenes obtained in these studies could only be produced by the HSVM reactions and cannot be attained by conventional liquidphase reactions.<sup>9–11,13</sup> We now report our study on the solvent-free HSVM reactions of fullerenes with N-alkylglycines and aldehydes 2a-e, and more importantly, the novel reactions of fullerenes and N-alkylglycines without aldehyde under HSVM conditions.

# 2. Results and discussion

The 1,3-dipolar cycloaddition of azomethine ylide generated

*Keywords*: fullerenes; Prato reaction; *N*-alkylglycine; solvent-free reaction; high-speed vibration milling.

in situ from N-methylglycine and paraformaldehyde to  $C_{60}$  to give fulleropyrrolidine was reported at a very early stage of fullerene chemistry by Prato and co-workers.<sup>15</sup> Since then many fulleropyrrolidine derivatives with novel functionalities have been prepared. As a typical procedure, a mixture of C<sub>60</sub>, N-methylglycine or other amino acids, and aldehydes or ketones was reacted at elevated temperature (refluxing toluene or chlorobenzene) to afford fulleropyrrolidine.<sup>3,</sup> Then we questioned if the HSVM technique could be applied to this Prato reaction of C60 under solvent-free conditions. A mixture of C<sub>60</sub>, 1 equiv. of *N*-methylglycine (3) and 1 equiv. of aldehyde 2a-e was vigorously shaken by HSVM technique for 1 h, and we found that the expected fulleropyrrolidines 4a - e were obtained in moderate yields (Scheme 1). The identities of the products were confirmed by comparison of their spectral data with those reported in literature.<sup>15,16</sup>

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Noteworthy is the fact that in these solvent-free HSVM reactions we unexpectedly isolated **4a** as a minor product besides the corresponding fulleropyrrolidines **4b**–**e** in all reactions of  $C_{60}$  with **3** and **2b**–**e**. The yields of the products and recovered  $C_{60}$  for these reactions of  $C_{60}$  with **3** and aldehydes in a molar ratio of 1:1:1 are listed in Table 1.

Since the reagents commonly used for all these reactions are  $C_{60}$  and **3**, **4a** may have resulted from the direct reaction between these two. To test this hypothesis, we treated the mixture of  $C_{60}$  and 3 (1:1) under the HSVM conditions for 1 h. The reaction mixture was separated by a silica-gel column chromatography eluted with toluene to give 4a in 19% (35% based on the consumed  $C_{60}$ ). Its structure was confirmed by <sup>1</sup>H and <sup>13</sup>C NMR, HRMS, IR and UV-Vis spectra.<sup>15</sup> Then, as a much less soluble product, which was eluted out by o-dicholorobenzene, the fullerene dimer  $C_{120}^{9a}$  was obtained in 23% (42% based on the consumed C<sub>60</sub>) (Scheme 2). When 3 was substituted by *N*-ethylglycine, the same kind of reaction occurred, N-ethyl fulleropyrrolidine (5) and  $C_{120}$  were obtained in 16% (36% based on the consumed  $C_{60}$ ) and 19% (43% based on the consumed  $C_{60}$ ) yields, respectively (Scheme 2). Fulleropyrrolidine 5 exhibited 17 lines for the  $sp^2$  carbons of the C<sub>60</sub> cage in its <sup>13</sup>C NMR, which is consistent with its  $C_{2\nu}$  symmetry. Its <sup>13</sup>C NMR pattern for the C<sub>60</sub> cage carbons and UV-vis spectrum were the same as those of 4a.

Compound **5** was also obtained in 26% (52% based on the consumed  $C_{60}$ ) from the mechanochemical HSVM reaction of  $C_{60}$  with *N*-ethylglycine and paraformaldehyde under the HSVM conditions for 1 h (Scheme 3).

To examine how the reagent ratio affects the product distribution and yield, we monitored the reaction mixtures at various reagent ratios by the use of HPLC analysis. Two series of experiments have been conducted. First, the ratio



**a**, R = H; **b**, R = C<sub>6</sub>H<sub>5</sub>; **c**, R = p-NO<sub>2</sub>-C<sub>6</sub>H<sub>4</sub>; **d**, R = p-CH<sub>3</sub>O-C<sub>6</sub>H<sub>4</sub>; **e**, R = p-(CH<sub>3</sub>)<sub>2</sub>N-C<sub>6</sub>H<sub>4</sub>

**Table 1**. Product yields and recovered  $C_{60}$  for the reactions of  $C_{60}$  with *N*-methylglycine and aldehydes

Aldehyde	4 (Yield, %)	Recovered C <sub>60</sub> (%)
2a	<b>4a</b> (30%)	43
2b	<b>4b</b> $(23\%)$ + <b>4a</b> $(7\%)$	50
2c	4c(29%)+4a(12%)	53
2d	4d(24%)+4a(7%)	56
2e	<b>4e</b> (18%)+ <b>4a</b> (9%)	58

of  $C_{60}$  and **3** was fixed at 1:1 and the amount of **2a** was varied; then the relative yields of produced **4a** and  $C_{120}$  and unchanged  $C_{60}$  at various equivalents of **2a** were determined to give the results shown in Figure 1. Second, the ratio of  $C_{60}$  and **2a** was fixed at 1:1, and the amount of **3** was varied; then the yields of **4a**,  $C_{60}$  and  $C_{120}$  were determined at various equivalents of **3** to give the result shown in Figure 2.

As clearly seen in Figure 1, the yield of 4a changed little when 2a varied from 1 to 6 equiv., and then decreased gradually with the increase of recovered C<sub>60</sub>.

Meanwhile, as shown in Figure 2, the yield of **4a** increased rapidly with the change in the amount of **3** from 0.3 to 1 equiv. and was maintained nearly constant until 2.3 equiv. of **3**; then it rapidly decreased until 3 equiv. of **3** and kept gradually decreasing. In all cases, the formation of  $C_{120}$  was negligible (<10%). From these results, we conclude that the optimal amount for paraformaldehyde is 1–6 equiv. and that for **3** is 1–2 equiv. relative to  $C_{60}$  to obtain high yield of fulleropyrrolidine **4a** for the present HSVM reaction.

We have also investigated the dependence of the relative product yield on reagent ratios for the reaction of  $C_{60}$  with **3** in the absence of aldehydes. The relative yields of **4a**,  $C_{60}$  and  $C_{120}$  at various equivalents of **3** are shown in Figure 3.

As shown in Figure 3, the fulleropyrrolidine (4a) was formed in the range of 0.3–4 equiv. of 3, while  $C_{120}$  was formed at the all range of 3. The yield of 4a increased from 0.3 to 2 equiv. of 3, then decreased gradually and finally dropped to zero after 4 equiv. of 3. Meanwhile, there is a plateau for the yield of  $C_{120}$  around 20% from 1 to 2 equiv. of 3, then the yield increased rapidly and reached nearly the maximum (~40%), and then was almost unchanged until 7 equiv. of 3. Thus,  $C_{120}$  can be obtained selectively without contamination of 4a when more than 5 equiv. of 3 was used. Previously, it has been reported that the HSVM treatment of  $C_{60}$  with solid amines can give 30–40% of  $C_{120}$ .<sup>9b</sup>

Another aspect of interest is how the mass of the reaction mixture affects the product yield in the present HSVM reaction. The reagent ratio was kept unchanged, but the total amount of the reaction mixture was altered to see how the product yield was affected. The reaction of  $C_{60}$  with *N*-methylglycine (**3**) and paraformaldehye (**2a**) was chosen for this purpose. It was found that the increase of  $C_{60}$  from 7.2



Scheme 1.



### Scheme 3.

to 28.8 mg for the reaction of  $C_{60}$  with 2a and 3 in the ratio of 1:1:1 has almost no effect on the yield of 4a. The same phenomenon was true for the reaction of  $C_{70}$  with 2a and 3(vide infra). The milling time can be made shorter, the reactions of 20-30 min gave almost the same yield of 4a and also the functionalized C<sub>70</sub>, i.e. 6, 7, 8. However, the increase of the total amount for the reaction of  $C_{60}$  with 2be and 3 decreased the yield of 4b-e, and even did not give any **4b** or **4d** for the reaction of  $C_{60}$  with **2b** or **2d** and **3**. Therefore, we kept the amount of  $C_{60}$  at 7.2 mg for each run to compare the product yields for different aldehydes. The exact reason for this dependence of the product yield on the quantity of reaction mixture is not quite clear at the moment, but probably the high temperature required for these reactions is critical. When a smaller amount of the reaction mixture was used the temperature of the reaction mixture could get higher because of the greater degree of the direct contact of the milling ball with the inner surface of the capsule causing greater friction.

We have also explored the reaction of [70]fullerene with *N*-methylglycine and paraformaldehyde under HSVM conditions. This reaction gave three monoadduct isomers (Scheme 4).

A mixture of monoadducts  $6-8^4$  was isolated by column chromatography in total yield of 41%. The relative amount of 6-8 was determined as 47:36:16 by <sup>1</sup>H NMR. It was reported that the above Prato reaction of C<sub>70</sub> tended to produce more 7 at the expense of 8 at higher reaction temperature and under microwave irradiation.<sup>4</sup> The obtained ratio under the present HSVM conditions is closest to that in refluxing toluene, and quite different from that under microwave irradiation. A larger amount of monoadduct isomer 8 was obtained under HVSM conditions than that in refluxing chlorobenzene and *o*-dichlorobenzene, and under microwave irradiation. These facts hint that the local



Figure 1. Distributions of 4a,  $C_{60}$  and  $C_{120}$  at various equivalents of 2a for the reaction of  $C_{60}$  with 2a and 3 conducted under the HSVM conditions for 1 h.



Figure 2. Distributions of 4a,  $C_{60}$ , and  $C_{120}$  at various equivalents of 3 for the reaction of  $C_{60}$  with 2a and 3 conducted under the HSVM conditions for 1 h.

reaction temperature under HSVM is lower than the boiling point of toluene.

To find out if a similar reaction of  $C_{60}$  with *N*-methylglycine occurs for  $C_{70}$ , a mixture of  $C_{70}$  and *N*-methylglycine was treated with HSVM. It turned out that the reaction indeed proceeded and gave monoadducts **6** and **7** in total yield of 23% with relative ratio of 1.5:1 (Scheme 5). The reason for the absence of **8** is not known.

Fulleropyrrolidines were obtained from reactions of fullerenes with *N*-alkylglycine and aldehydes under HSVM conditions most probably through the expected 1,3-dipolar cycloaddition of the azomethine ylide. To explain the formation of [60]fulleropyrrolidines and  $C_{120}$  from the direct reactions of  $C_{60}$  and *N*-alkylglycine, we propose the following reaction pathways as a possible reaction mechanism (Scheme 6).

The first step is the electron transfer from *N*-alkylglycine to  $C_{60}$  to form  $C_{60}$  radical anion and **9** ((a) in Scheme 6). Radical cation **9** loses CO<sub>2</sub> and a proton to afford radical **11**. The proposed formation of radical **11** from  $C_{60}$  and glycines has precedent in the literature.<sup>17</sup> Radical **11** adds to the 6,6-bond of  $C_{60}$  to form intermediate **12**, which undergoes intramolecular electron transfer to give **13**, and then couples



Figure 3. Distributions of 4a,  $C_{60}$ , and  $C_{120}$  at various equivalents of 3 for the reaction of  $C_{60}$  with 3 conducted under the HSVM conditions for 1 h.



with radical 11 to afford anion 14. Amines are known to easily transfer an electron to  $C_{60}$ .<sup>18</sup> The formed 14 transfers one electron to  $C_{60}$  to give intermediate 15, which cyclizes with the loss of the alkyl amine radical to afford the fulleropyrrolidine 16 ((b) in Scheme 6). Cyclization accompanied with unusual C-N bond breaking has been noticed before.<sup>19</sup> Alternatively, the anion 14 can transfer one electron to  $C_{60}$  to form radical 17, which cyclizes with the loss of alkyl amine radical to afford the fulleropyrrolidine ((c) in Scheme 6).  $C_{120}$  is supposed to be formed by the coupling of  $C_{60}$  radical anion with  $C_{60}$  to form  $C_{120}$ radical anion 18, which transfers one electron to another molecule of  $C_{60}$  to give  $C_{120}$  diradical **19**, which couples to afford  $C_{120}$  ((d) in Scheme 6). Thus,  $C_{120}$  can be formed once the  $C_{60}$  radical anion is produced by the process (a) as has been suggested before.9b

 $C_{70}$  should react with *N*-alkylglycine via the same pathway as that of  $C_{60}$  with *N*-alkylglycine to give [70]fulleropyrrolidines. It should be noted that there is no evidence of the formation of  $C_{70}$  dimer,  $C_{140}$ . This is consistent with the failure of formation of  $C_{140}$  from the reactions of  $C_{70}$  with potassium salts, metals, and amines.<sup>9c</sup>

The synthesized fulleropyrrolidines can be converted to the corresponding fullerene-containing amphiphiles bearing ammonium head group. These amphiphiles are water-soluble and expected to form interesting supramolecular assemblies in water and polar organic solvents. Work along this line is in progress.

In conclusion, the reactions of  $C_{60}$  with aldehydes and *N*-methylglycine (Prato reaction) under solvent-free con-





Scheme 5.



Scheme 6.

ditions were investigated by the use of the HSVM technique. Not only the expected products  $4\mathbf{a} - \mathbf{e}$  were produced but the simplest *N*-methyl fulleropyrrolidine  $4\mathbf{a}$  was obtained from all the reactions regardless of the type of aldehyde. From this observation, a novel reaction of  $C_{60}$  or  $C_{70}$  with *N*-alkylglycine was found. A possible reaction mechanism was proposed for the reaction of  $C_{60}$  with *N*-alkylglycine to explain the formation of fulleropyrrolidine and also the fullerene dimer,  $C_{120}$ .

## 3. Experimental

## **3.1. General procedures**

<sup>1</sup>H and <sup>13</sup>C NMR spectra were recorded at 300 or 400 and 75 MHz, respectively, in  $CS_2$ -CDCl<sub>3</sub>. IR spectra were recorded on a Shimadzu 8600 FT IR spectrometer. UV-vis spectra were obtained on a Shimadzu UV-2100PC spectrometer.

High-performance liquid chromatography analysis was conducted on an Agilent 1100 liquid chromatograph with a diode-array detector using a Cosmosil Buckyprep column (4.6 mm×250 mm) with toluene as the eluent. For the reaction of  $C_{60}$  with *N*-methylglycine and paraformalde-hyde, the retention time for the product, **4a**,  $C_{60}$ , and  $C_{120}$  were 6.0, 8.0 and 18.9 min, respectively, at the flow rate of 1 mL min<sup>-1</sup>. For the HPLC measurements, the reaction mixture was monitored at 326 nm, and the relative ratios of  $C_{60}$  and its derivatives' peak areas were taken as the relative

amounts of  $C_{60}$  and its derivatives. Their actual amounts are slightly different due to the slightly different molar extinction coefficients for  $C_{60}$  and its derivatives at 326 nm. However, this treatment does not affect the distribution trends shown in Figures 1–3.

All solvent-free reactions were performed using a highspeed vibration mill that consists of a capsule and a milling ball made of stainless steel. The capsule containing the milling ball was fixed on a vibration arm of a home-built mill, and was vibrated vigorously at a rate of 3500 cycles per minute.

 $C_{60}$  (>99.9%) and  $C_{70}$  (98%) were purchased from 3D Carbon Cluster Material Co. of Wuhan University in China. All other reagents were commercial material.

**3.1.1. Reaction of**  $C_{60}$  **with** *N***-methylglycine and aldehydes.** A mixture of  $C_{60}$  (7.2 mg, 0.01 mmol), *N*-methylglycine (0.9 mg, 0.01 mmol) and *p*-nitrobenzaldehyde (**2c**) (1.5 mg, 0.01 mmol) was vigorously shaken by HSVM for 1 h. The combined reaction mixture from six runs was separated on a silica gel column with toluene/petroleum ether as the eluent to afford recovered  $C_{60}$  (22.9 mg, 53%), **4c** (15.5 mg, 29%), and **4a** (5.6 mg, 12%). Similar results were obtained from reactions of  $C_{60}$  with *N*-methylglycine and other aldehydes. All fulleropyrrolidines **4a**–**e** were characterized by NMR, UV-vis, and their structures were confirmed by comparison of their spectral data with those reported in literature.<sup>15,16</sup>

**3.1.2. Reaction of C**<sub>60</sub> with *N*-methylglycine. A mixture of C<sub>60</sub> (7.2 mg, 0.01 mmol) and *N*-methylglycine (0.9 mg, 0.01 mmol) was vigorously shaken by HSVM for 1 h. The combined reaction mixture from six runs was separated on a silica gel column with toluene/petroleum ether as the eluent to afford recovered C<sub>60</sub> (19.9 mg, 46%) and a black solid (8.9 mg, 19%), which was proven to be the same product as that from the reaction of C<sub>60</sub> with *N*-methylglycine and paraformaldehyde by comparison their <sup>1</sup>H NMR, <sup>13</sup>C NMR, MS, IR, UV–Vis spectra, and was further confirmed by high-resolution mass spectroscopy as **4a**. **4a**: MS (–APCI) *m*/*z* 777 (M<sup>-</sup>); HRMS (+FAB) calcd for C<sub>63</sub>H<sub>8</sub>N (M+1), 778.0656, found 778.0609.

The silica gel column was further eluted with *o*-dichlorobenzene. A poorly soluble compound was obtained and was identified as  $C_{120}$  (10.0 mg, 23%) by comparison of its spectral data with those of an authentic sample.<sup>9a</sup>

**3.1.3. Reaction of C**<sub>60</sub> with paraformaldehyde and/or *N*ethylglycine. A mixture of C<sub>60</sub> (7.2 mg, 0.01 mmol), *N*ethylglycine (1.0 mg, 0.01 mmol) and paraformaldehyde (0.3 mg, 0.01 mmol) was vigorously shaken by HSVM for 1 h. The combined reaction mixture from six runs was separated on a silica gel column with toluene/petroleum ether as the eluent to afford recovered C<sub>60</sub> (21.6 mg, 50%), and *N*-ethylfulleropyrrolidine **5** (12.3 mg, 26%). **5**: IR (KBr)  $\nu$  2964, 2795, 2775, 1510, 1473, 1461, 1428, 1385, 1340, 1310, 1194, 1165, 1130, 1114, 1107, 1087, 768, 597, 575, 561, 553, 527, 513, 478 cm<sup>-1</sup>; UV–Vis (cyclohexane)  $\lambda_{max}$ 211, 256, 324, 431 nm; MS (-APCI) *m*/*z* 791; HRMS (+FAB) calcd for C<sub>64</sub>H<sub>10</sub>N (M+1), 792.0813, found 792.0825; <sup>1</sup>H NMR (300 MHz,  $CS_2$ -CDCl<sub>3</sub> (2:1))  $\delta$  4.40 (s, 4H), 3.14 (q, 2H, *J*=7.3 Hz), 1.55 (t, 3H, *J*=7.3 Hz); <sup>13</sup>C NMR (75 MHz,  $CS_2$ -CDCl<sub>3</sub> (2:1))  $\delta$  154.70 (4C), 146.98 (2C), 145.95 (4C), 145.76 (4C), 145.75 (4C), 145.39 (2C); 145.17 (4C), 144.98 (4C); 144.28 (4C), 142.83 (2C), 142.35 (4C), 141.95 (4C), 141.80 (4C), 141.62 (4C), 139.92 (4C), 136.02 (4C), 70.31 (2C), 67.54 (CH<sub>2</sub>N), 49.15 (NCH<sub>2</sub>CH<sub>3</sub>), 14.10 (NCH<sub>2</sub>CH<sub>3</sub>).

Compound 5 (16%) and  $C_{120}$  (19%) along with recovered  $C_{60}$  (56%) were obtained from the reaction of  $C_{60}$  with *N*-ethylglycine using the same procedure as described above.

**3.1.4. Reaction of C**<sub>70</sub> with aldehydes and/or *N*-methylglycine. A mixture of C<sub>70</sub> (8.4 mg, 0.01 mmol), *N*methylglycine (0.9 mg, 0.01 mmol) and paraformaldehyde (0.3 mg, 0.01 mmol) was vigorously shaken by HSVM for 1 h. The combined reaction mixture from six runs was separated on a silica gel column with toluene/petroleum ether as the eluent to afford recovered C<sub>70</sub> (22.7 mg, 45%) and a mixture of monoadducts **6**–**8**<sup>4</sup> (22.1 mg, 41%). The ratio of **6**–**8** was determined to be 47:36:16 by <sup>1</sup>H NMR. Monoadducts **6** and **7** (1.5:1) in a total yield of 23% along with 62% of recovered C<sub>70</sub> can also be obtained by the reaction of C<sub>70</sub> with *N*-methylglycine using the same procedure as described above.

## Acknowledgements

We are grateful for financial support from the 'Hundred Talents Program' of the Chinese Academy of Sciences, National Science Fund for Distinguished Young Scholars (20125205), Anhui Provincial Natural Science Foundation (00045306), and USTC Environment and Resource Research Base Foundation.

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